



# COVID-19 Tribal

Pandemic Emergency: Prepare,  
Respond, and Recover

# Rural Community Assistance Partnership, Inc.

## Western

**Rural Community  
Assistance Corporation**

916/447-2854

[www.rcac.org](http://www.rcac.org)

## Midwest

**Midwest Assistance Program**

952/758-4334

[www.map-inc.org](http://www.map-inc.org)

## Southern

**Communities Unlimited**

479/443-2700

[www.crg.org](http://www.crg.org)

## Northeast

**RCAP Solutions**

800/488-1969

[www.rcapsolutions.org](http://www.rcapsolutions.org)

## Great Lakes

**WSOS Community  
Action Commission**

800/775-9767

[www.glracap.org](http://www.glracap.org)

## Southeast

**Southeast Rural Community  
Assistance Project**

866/928-3731

[www.southeastrcap.org](http://www.southeastrcap.org)



800/321-7227

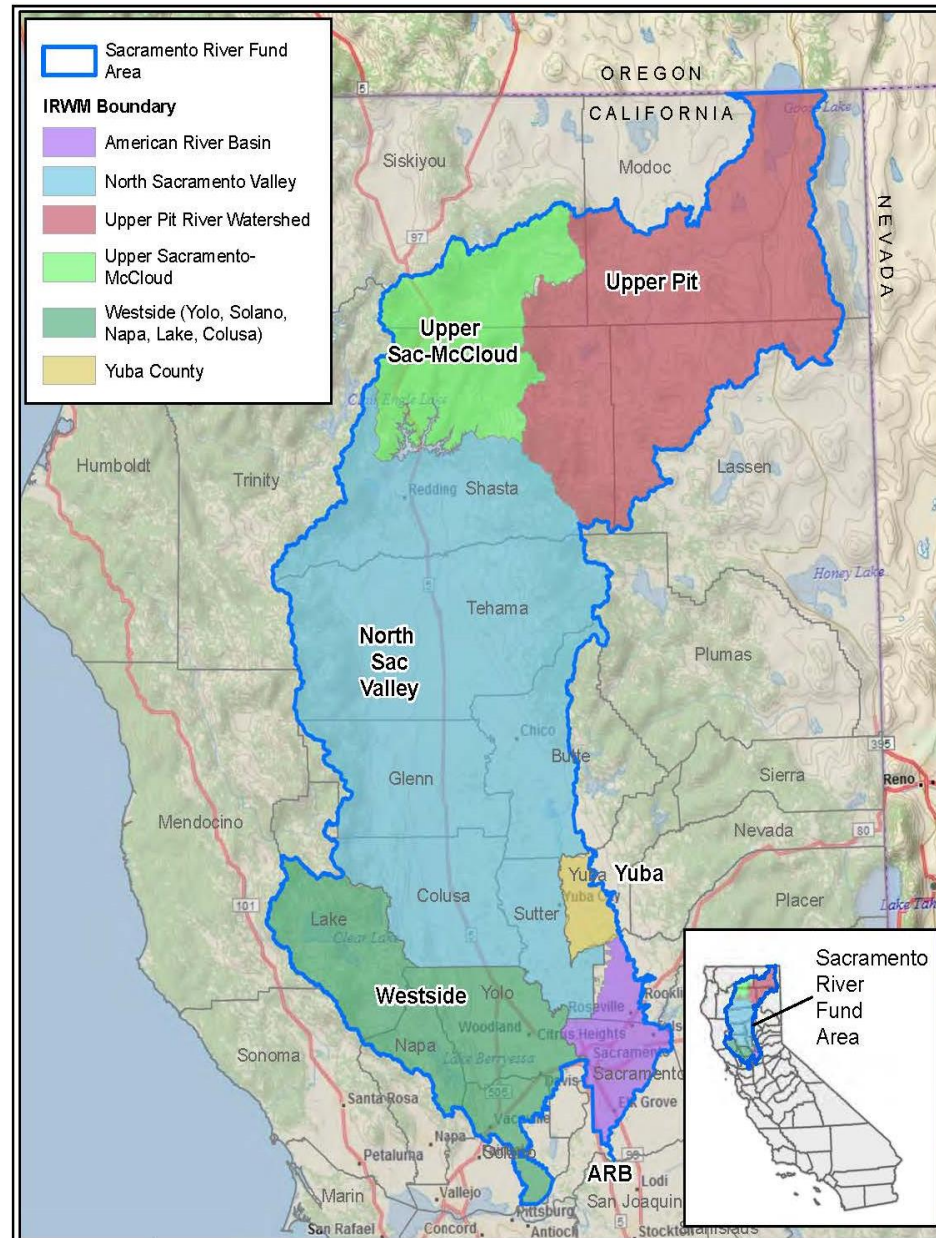
[www.rcap.org](http://www.rcap.org)



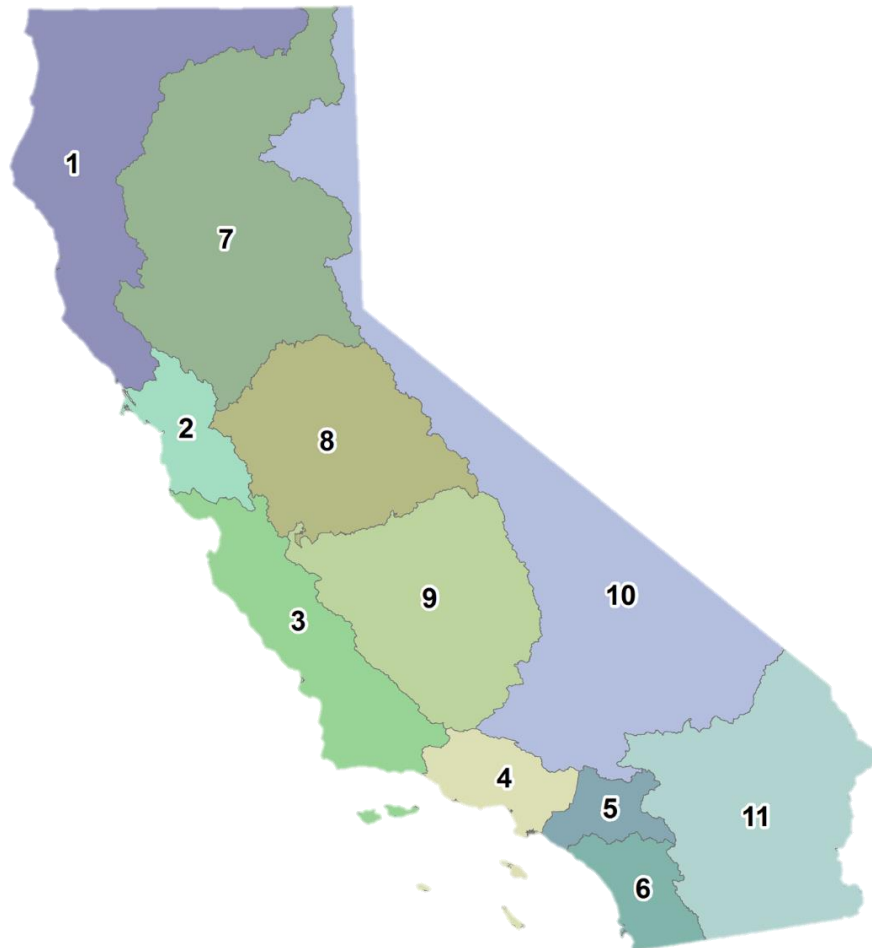
**This Workshop is  
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Sacramento River Funding  
Area Disadvantaged  
Community Involvement  
Program, a grant funded  
program supported by the  
California Department of  
Water Resources' Integrated  
Regional Water  
Management Program**

For more information on the DWR DACI-Program go to:

<https://water.ca.gov/Work-With-Us/Grants-And-Loans/IRWM-Grant-Programs/Proposition-1/DAC-Involvement-Program>



# Prop 1 Funding Areas



- 1 North Coast
- 2 San Francisco Bay Area
- 3 Central Coast
- 4 Los Angeles Sub-Region
- 5 Santa Ana Sub-Region
- 6 San Diego Sub-Region
- 7 Sacramento River
- 8 San Joaquin River
- 9 Tulare/Kern
- 10 North/South Lahontan
- 11 Colorado River Basin

# Sacramento River Funding Area Disadvantage Community Involvement Program

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**The SRFA-DACI-Program is three-year program specifically targeting the water and wastewater needs of disadvantaged communities within the SRFA boundary.**

The goals of the DACI Program are to:

1. Engage DAC organizations, water purveyors and stakeholders in IRWM
2. Identify the water and wastewater management needs of DACs
3. Develop strategies and solutions for DAC water management needs.



**This Workshop was developed to address key needs that have been identified for DAC communities and/or water providers in this region.**

*For questions on the SRFA DACIP Program and how to engage with your IRWM please contact:  
JoAnna Lessard ([joanna.Lessard@fishsciences.net](mailto:joanna.Lessard@fishsciences.net)) or Katie Burdick ([katie@burdico.net](mailto:katie@burdico.net))*

# Sacramento River Funding Area Disadvantage Community Involvement Program

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JoAnna Lessard ([joanna.Lessard@fishsciences.net](mailto:joanna.Lessard@fishsciences.net)) or Katie Burdick ([katie@burdico.net](mailto:katie@burdico.net))*

# Water and Wastewater

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## Emergency Response Plans (ERP), Resources, Templates, and Checklists

- EPA Coronavirus information

<https://www.epa.gov/coronavirus>

- EPA Pandemic incident checklist

[https://www.epa.gov/sites/production/files/2020-03/documents/pandemic\\_iac\\_final\\_032620\\_508\\_fillable.pdf](https://www.epa.gov/sites/production/files/2020-03/documents/pandemic_iac_final_032620_508_fillable.pdf)

- EPA Water incident checklist

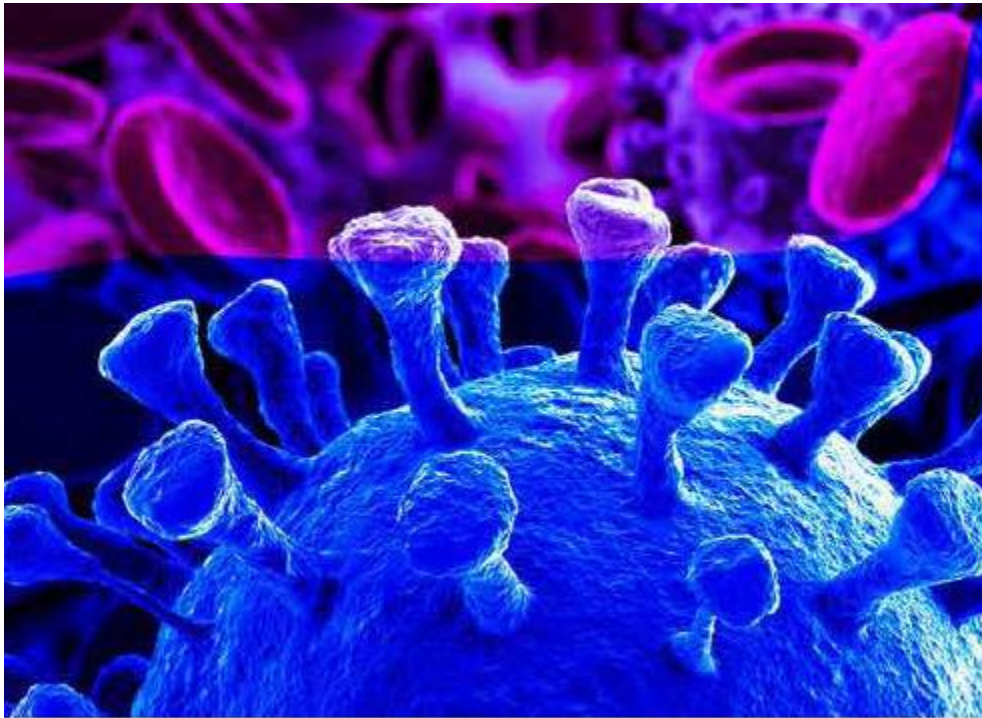
<https://www.epa.gov/waterutilityresponse/incident-action-checklists-water-utilities>

- EPA Coronavirus Water

<https://www.epa.gov/coronavirus/water-utility-resources-covid-19-pandemic>

# Pandemic COVID-19

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- Prepare
- Respond
- Recover
- Resources

# Actions to Prepare for a Pandemic

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## Planning

### Identify Pandemic Response Team

- Predetermine a lead and back-up Pandemic Response Team.
- Develop a process for situational awareness
- Create pandemic management strategies



# Actions to Prepare for a Pandemic

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## Planning

Emergency Response Plan (ERP) and Operations and Maintenance Program

- Do you have one?
- When is the last time it was updated?
- Are staff adequately trained?



# Actions to Prepare for a Pandemic

## Continuity of Operations Plan (COOP)

- At minimum COOP plans should include
  - ✓ Defining Roles and Responsibilities During the Pandemic
  - ✓ Protecting Employee Health
  - ✓ Maintaining Essential Operations and Critical Positions

### Regular Day

Day to day, the whole community works together to provide essential functions, capabilities, and services to each other.



# Actions to Prepare for a Pandemic

## Continuity of Operations Plan (COOP)

- ✓ Maintaining Essential Equipment, Materials and Supplies
- ✓ Communications
- ✓ Addressing Community Mitigation Impacts
- ✓ Identifying Delegations of Authority
- ✓ Training

### Continuity Event

An event can disrupt the performance of essential functions, capabilities, and services at all levels.



# Actions to Prepare for a Pandemic

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## Planning

Need Assistance??

- Join your state's Water and Wastewater Agency Response Network (WARN) or other local mutual aid network.



# Actions to Prepare for a Pandemic

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## Planning

Need Assistance??

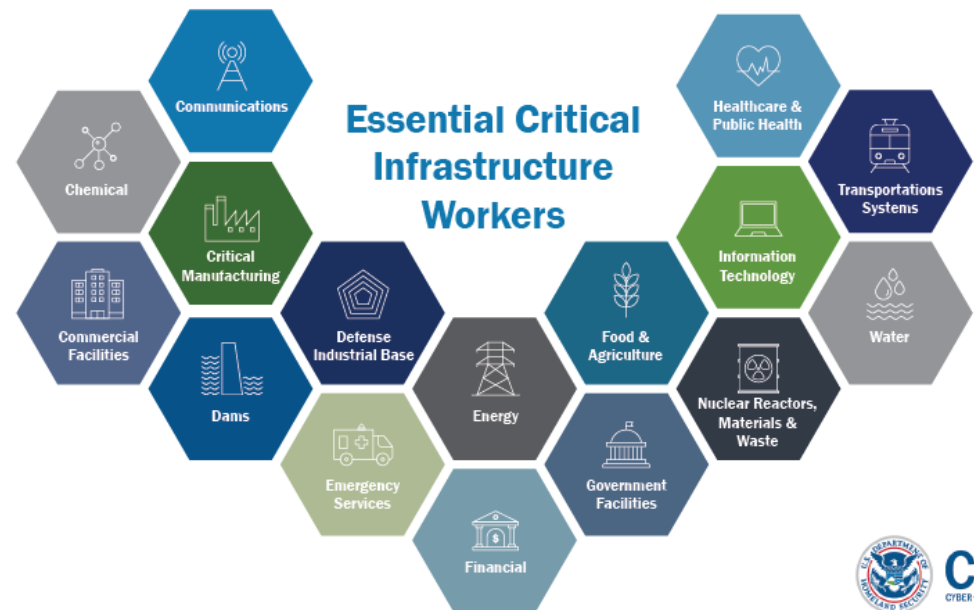
- Work with local Technical Assistance Provider
  - IHS, ITCA, RCAC, RCAP, NRWA or CRWA



# Actions to Prepare for a Pandemic

## Planning

- Draft memorandum to identify staff as essential workers.
- Work with local law enforcement and public health to ensure you staff are identified first responders.



# Actions to Prepare for a Pandemic

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## Protecting Employee Health

- Reinforce good hygiene practices:
- ✓ Provide employee training on how to minimize risk.
  - Covering cough
  - Avoid touching face
  - Washing hands
- ✓ Post proper hand washing techniques with pictures at every sink.



# Actions to Prepare for a Pandemic

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## Protecting Employee Health

- Ensure you have an adequate supply of hygiene supplies and personal protective equipment.
  - Soap
  - Toilet paper
  - Disinfecting wipes
  - Cleaning supplies
  - Masks
  - Gloves
  - Hand Sanitizer
  - Etc.



# Actions to Prepare for a Pandemic

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## Protecting Employee Health

### Establish Pandemic Policy

- Employees screening for symptoms
- Setting up extended sick leave
- Working from home / telework
- Health and safety protocols during short staffing event
- Family response plans



# Actions to Prepare for a Pandemic

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## Maintaining Essential Operations

### Identification of Critical Positions:

- Plant operators
- Samplers
- Laboratory Personnel
- Etc.

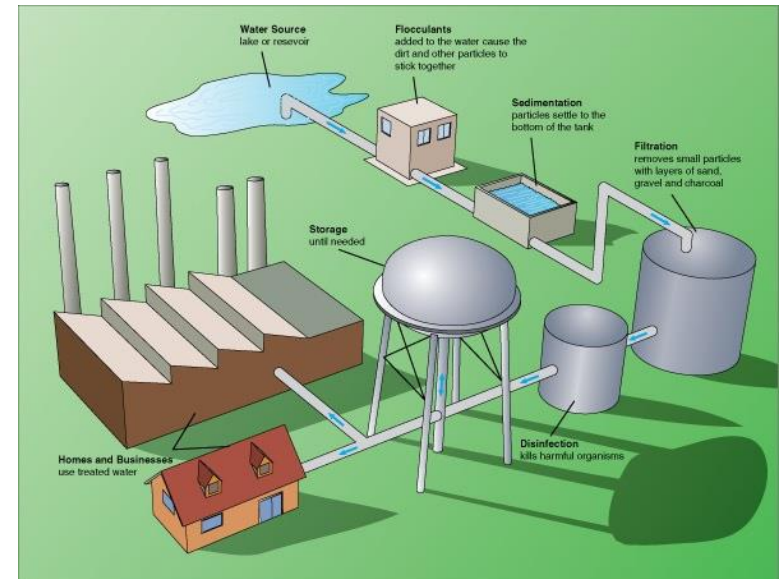


# Actions to Prepare for a Pandemic

## Maintaining Essential Operations

### Identification of Critical Functions:

- Disinfection
- Aeration
- Pumping
- Etc.



# Actions to Prepare for a Pandemic

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## Maintaining Essential Operations

Identification of Critical Customers:

- Hospitals
- Nursing homes
- Manufacturers
- Etc.



# Actions to Prepare for a Pandemic

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## Maintaining Essential Operations

### Staffing alternatives

- ✓ Understand the WARN process of requesting personnel during a pandemic.
- ✓ Cross-train staff to handle multiple positions and critical operations.
- ✓ Have back-up laboratories available.
- ✓ Assess your SCADA remote operations capabilities

# Actions to Prepare for a Pandemic

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## Maintaining Essential Operations

Communicate with you laboratory!!!

- ✓ Ensure they have a pandemic response plan.
- ✓ They will be able to receive and assess your samples.
- ✓ Have a back-up option in place.
- ✓ Utilize the Water Laboratory Alliance (WLA)

Drinking Water and Wastewater Laboratory Network

<https://www.epa.gov/waterlabnetwork>



# Actions to Prepare for a Pandemic

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## **Maintaining Essential Facilities, Equipment, and Supplies**

- ✓ Identify critical facilities and supplies.
- ✓ Inventory critical materials, chemicals, supplies, and equipment.
- ✓ Ensure vendors/suppliers have a pandemic plan in place and can deliver needed supplies in the event of an emergency.
- ✓ Source materials and chemicals from multiple vendors to mitigate supply chain disruptions.

# Actions to Prepare for a Pandemic

## Communication

- ✓ Develop communication templates.
- ✓ Identify appropriate distribution mechanisms.
- ✓ Identify emergency contacts



# Actions to Respond to a Pandemic

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## Initial Actions

- ✓ Activate your Pandemic Response Team.
- ✓ Execute your pandemic COOP and Emergency Response Plan.
- ✓ Stay in close contact with your regulatory agency



# Actions to Respond to a Pandemic

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## Protecting Employee and Public Health

- ✓ Follow CDC recommendations.
- ✓ Close offices to public.
- ✓ Suspend any in-home non-sampling appointments by staff.
- ✓ Limit or cease all in-person meetings, gathering of people in the same location, and travel.



# Actions to Respond to a Pandemic

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## Protecting Employee and public Health

- ✓ Increase frequency of disinfecting equipment.
- ✓ Temporarily suspending drinking water shut-offs.
- ✓ Separate employees with overlapping expertise.
- ✓ Implement telework where possible.
- ✓ Limit all activities to only critical projects.



# Actions to Respond to a Pandemic

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## Maintaining Essential Operations

- ✓ Implement staff rotations that require minimal personnel
- ✓ Make preparations to house critical staff on site. Including access to beds, food, water, etc.
- ✓ Communicate with laboratory service provider to ensure they are available to receive and assess samples.

# Actions to Respond to a Pandemic

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## **If you begin or anticipate experiencing critical staff shortages:**

- Inform your regulatory agency of the situation.
- Contact WARN, as well as your local assistance providers for support.
- Work together with neighboring utilities to develop mutual aid agreements.



# Actions to Respond to a Pandemic

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## Maintaining Essential Operations

Anticipate Cyber Attacks!!

- Pandemics create opportunities for cyber-attack tactics preying on fear and the need for information that could disrupt billing or SCADA operations.



# Actions to Respond to a Pandemic

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## Maintaining Essential Operations

### Anticipate Cyber Attacks!!

- Remind staff not to click on any suspicious links, which may contain hostile programs.
- Back-up all critical files and ensure security systems (firewalls, anti-virus) are functioning on all remote equipment.



# Actions to Respond to a Pandemic

## Maintaining Essential Facilities, Equipment, and Supplies

- ✓ Ensure that all facilities are secured and limited access.
- ✓ Implement surveillance where possible.
- ✓ Stay in close contact with suppliers



# Actions to Respond to a Pandemic

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**If you anticipate an impending shortfall of supplies:**

- Contact your WARN and local assistance providers to identify possible resource supplies.
- Work with your emergency management agency.



# Actions to Respond to a Pandemic

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## Communication

### Drinking Water Systems

- Communicate with customer quickly and often about the safety of their water supply using guidance provided by the EPA and CDC.
- Inform customers of any event that may result in the loss of services.



# Actions to Respond to a Pandemic

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## Communication

### Wastewater Systems

- Communicate with customers through news outlets, social media, or webpage.
- Provide information on the consequences of flushing wet wipes or personal protective equipment (PPE), such as gloves or masks, down the toilet



# Actions to Respond to a Pandemic

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## Documentation

Document Everything!!

- All events
- Timeframes
- Resulting impacts
- Hours (regular & overtime)
- Invoices for all equipment, supplies, contracts, vendors Etc.

\*This information may be required as part of the post-incident investigation.

# Actions to Recover from a Pandemic

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- ✓ Provide continuous customer updates
- ✓ Work with vendors and internal departments to return to normal service.
- ✓ Revise budget and asset management plans.



# Actions to Recover from a Pandemic

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- ✓ Develop a lessons-learned document and after-action report.
- ✓ Identify mitigation measures.
- ✓ Conduct annual utility-specific pandemic awareness training with all employees



# EPA Resource List

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## Mutual Aid Programs

- Water/Wastewater Agency Response Network (EPA)

<https://www.epa.gov/waterutilityresponse/mutual-aid-and-assistance-drinking-water-and-wastewater-utilities>

## Emergency Response and Continuity of Operations Planning

- Drinking Water Emergency Response Plans (EPA)

<https://www.epa.gov/waterutilityresponse/develop-or-update-drinking-water-utility-emergency-response-plan>

- Wastewater Emergency Response Plan Template (RCAP)

<http://www.rcapsolutions.org/wp-content/uploads/2013/06/Emergency-Response-Planning-Template-for-Public-Wastewater-S.pdf>

- Pandemic Continuity of Operations Template (GLCAP)

<https://www.glcac.org/media/2569/pandemic-continuity-of-operations-plan-template.pdf>



# EPA Resource List

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## Emergency Response and Continuity of Operations Planning (Continued)

- Business Continuity Planning for Water Utilities: Guidance Document (WRF, AWWA, EPA)  
<https://www.waterisac.org/system/files/Business%20Continuity%20Planning%20for%20Water%20Utilities.pdf>
- Business Continuity Planning in the Event of an Influenza: A Reference Guide (AMWA, Water ISAC)  
<https://www.amwa.net/sites/default/files/AMWA%20WI%20PandemGuideFinal.pdf>
- Tabletop Exercise Tool, Pandemic Scenario (EPA)  
<https://www.epa.gov/waterresiliencetraining/develop-and-conduct-water-resilience-tabletop-exercise-water-utilities>

# EPA Resource List

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## Other Tools and Resources

- Water Laboratory Alliance – Drinking Water and Wastewater (EPA)  
<https://www.epa.gov/waterlabnetwork>
- Crisis Emergency Response and Recovery Access (CERRA) Framework (DHS)  
<https://www.cisa.gov/sites/default/files/publications/Crisis%20Event%20Response%20and%20Recovery%20Access%20%28CERRA%29%20Framework.pdf>
- Water Utility Communication During Emergency Response (EPA)  
<https://www.epa.gov/waterutilityresponse/water-utility-communication-during-emergency-response>

# EPA Resource List

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## Other Tools and Resources (Continued)

- Water Utility Response On-The-Go (EPA)

<https://www.epa.gov/waterutilityresponse/water-utility-response-go-mobile-application>

- Resources for Small Public Water System Operators (EPA)

<https://www.epa.gov/dwcapacity/resources-small-public-water-system-operators>

- Memorandum on Identification of Essential Critical Infrastructure Workers During COVID-19 Response (DHS)

<https://www.cisa.gov/sites/default/files/publications/CISA-Guidance-on-Essential-Critical-Infrastructure-Workers-1-20-508c.pdf>

# Additional Resources

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## General COVID-19 Information

- U.S. Coronavirus Website

<https://www.coronavirus.gov/>

- U.S. Centers for Disease Control and Prevention  
Drinking Water and Wastewater COVID-19 (CDC)

<https://www.cdc.gov/coronavirus/2019-ncov/php/water.html>

- World Health Organization COVID-19 (WHO)

<https://www.who.int/emergencies/diseases/novel-coronavirus-2019>

- Association of State Drinking Water Administrators  
COVID-19 (ASDWA)

<https://www.asdwa.org/covid19/>

# Additional Resources

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## General COVID-19 Information (Continued)

- Water Information Sharing and Analysis Center COVID-19 (Water ISAC)

<https://www.waterisac.org/portal/coronavirus-outbreak-updated-february-25-2020>

- Water Environment Federation COVID-19 (WEF)

<https://wef.org/coronavirus>

- American Water Works Association COVID-19 (AWWA)

<https://www.awwa.org/Resources-Tools/Resource-Topics/Coronavirus>

- Coronavirus Research Update (WRF)

<https://www.waterrf.org/event/coronavirus-research-update>

# Information on Hygiene and Water Safety

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## Information on Hygiene and Water Safety

- OSHA Guidance for Wastewater Workers  
COVID-19 (OSHA)

<https://www.osha.gov/SLTC/covid-19/controlprevention.html#solidwaste>

- Water, Sanitation, Hygiene and Waste  
Management for COVID-19 (WHO, UNICEF)

<https://www.who.int/publications-detail/water-sanitation-hygiene-and-waste-management-for-covid-19>

# Resources for Technical Assistance

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- Indian Health Service (IHS)
- Inter Tribal Council of Arizona (ITCA)
- Rural Community Assistance Corporation (RCAC)
- California Rural Water Association (CRWA)

# Indian Health Services (IHS)

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## **Division of Sanitation Facilities Construction**

CAPT David Harvey, PE, MPH Deputy Director

California:

Chris Brady

916-930-3981

[chris.brady@ihs.gov](mailto:chris.brady@ihs.gov)

# Indian Health Services (IHS)

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- Technical Assistance Water/Wastewater
- Equipment/Vendor locator support
- Equipment trouble shooting Questions/advice
- Training

# Inter Tribal Council of Arizona (ITCA)

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## **Inter Tribal Council of Arizona, Inc.**

- 2214 North Central Avenue, Suite 100  
Phoenix, AZ 85004
- Phone: (602) 258-4822
- Fax: (602) 258-4825
- E-mail: [info@itcaonline.com](mailto:info@itcaonline.com)

# Inter Tribal Council of Arizona (ITCA)

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- Technical Assistance
- Operator Certification
- Links for Tribal Health Organizations
- Training

# Rural Community Assistance Corporation (RCAC)

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## **Rural Community Assistance Corporation**

- 3120 Freeboard Dr, West Sacramento, CA 95691
- Phone: 916-447-2854
- Website: [www.rcac.org](http://www.rcac.org)

# RCAC Core Services

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- Community and Environmental Service
- Housing
- Loan Fund
- Training
- Community Leadership
- Tribal Utilities

# Environmental Services

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- RCAC water, wastewater and solid waste technical assistance
- Building Rural Economies (BRE), community outreach and development solutions



# Housing Services

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- RCAC affordable housing assistance
- Tribal Housing Excellence Academy (THE Academy), housing and development assistance on tribal lands
- Development Solutions, real estate development that support nonprofit organizations



# Training

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- RCAC technical assistance in the field
- Water
- Wastewater
- Solid Waste
- Housing
- Community
- Other Various Topics



# Classroom and online education

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- RCAC expands the knowledge base of the rural public through free education and training



# Financing assistance

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- RCAC housing, environmental infrastructure or community facilities, and small business financing



# Building communities

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- RCAC leadership development training



# RCAC/RCAP

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- Has a Tribal circuit rider program to provided TA.
- They have a loan Fund.
- Technical Assistance thru other programs.

# California Rural Water Association (CRWA)

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## California Rural Water Association

- 1234 N. Market Blvd  
Sacramento, CA 95834
- Toll-Free: 800.833.0322
- Phone: 916.553.4900
- Fax: 916.553.4904
- Email: [info@calruralwater.org](mailto:info@calruralwater.org)

# CRWA/NRWA

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- Technical Assistance
- Leak detection
- Tribal Circuit Rider Program

# COVID 19

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## Optional Resource Review

- RCAC Template Pandemics?
- EPA or STATE website tour ?
- CISA essential workers ?
- Questions

# QUESTIONS??

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